



David A. Swerdloff

Of Counsel

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Overview

David Swerdloff advises business clients on mergers, acquisitions and general corporate matters. He has negotiated transactions of all sizes, some worth hundreds of millions of dollars. He has represented clients in the defense, medical instrument, food services, technology, aviation, public utility and real estate industries.

David's corporate advice addresses the key issues faced by business clients from formation through strategic growth and expansion. He has advised foreign and domestic clients on complicated business issues, including:

- Positioning the company for sale or merger
- Drafting, negotiation and execution of complex contracts
- Governance of pass-through entities, partnerships and LLCs
- Rights of minority owners
- Management succession planning

David has spoken frequently on issues related to mergers and acquisitions and topics of interest to emerging companies. In its 2012 edition, Chambers USA, quoting sources, noted that he was "'a very good, smart corporate lawyer,' who understands the importance of maintaining 'a good sense of humor' to expedite successful deals"

David is a former chair of Day Pitney's Corporate and Business Law department and the Business Law Committee of the Connecticut Bar Association.

** No aspect of these advertisements has been approved by the highest court of any state. See Awards Methodology.*

Experience

No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome.

Represented public defense contractor in \$235 million sale of systems engineering and technical assistance subsidiary to strategic buyer

Represented NYSE-listed defense contractor in \$185 million purchase of outstanding stock of Alabama business, as well as four prior acquisitions

Provided analysis and legal opinion necessary for Connecticut-based corporation to issue \$750 million in convertible preferred stock

Practices & Industries

Corporate & Business Law

Emerging Companies

Intellectual Property & Technology

Mergers & Acquisitions

Private Companies

Private Equity & Venture Capital

Public Companies & Securities

Tax Exempt Organizations & Charitable Giving

Technology, Telecommunications & Outsourcing

Trademark, Copyright & Advertising

Negotiated and closed \$84 million stock sale of Connecticut manufacturer of proprietary, highly engineered thermocouples, sensors, engine cable assemblies and related products for major commercial aircraft

Represented water company in \$38 million purchase of contiguous water system

Negotiated joint venture for upscale shopping center on historic pier across from Atlantic City casino

Represented prominent technology weblog in asset sale to a major magazine publisher

Represented facilities management company in \$48 million purchase of printing outsource company

Acted as U.S. counsel in \$40 million sale of foreign-owned technology company to leading U.S. manufacturer of processors and chipsets

Negotiated merger of emerging telecommunications company with industry leader for approximately \$56 million

Represented sellers in complex merger/asset sale of computer resellers and affiliated service company with indirect subsidiary of Japanese electronics company

Negotiated joint venture with medical instrument manufacturer and multinational pharmaceutical company; represented manufacturer in subsequent auction and sale of joint venture

Structured and negotiated merger between 375,000-member nonprofit organization based in Hartford and 380,000-member nonprofit organization based in Cincinnati

Education and Credentials

Education

University of Connecticut School of Law, J.D., High Honors

Medill School of Journalism, Northwestern University, M.S.

Brown University, A.B., Honors

Admissions

State of Connecticut

U.S. District Court, District of Connecticut

Affiliations

Connecticut Bar Association, Business Law Section, Executive Committee, former Chair

Regional Bar Association (lower Fairfield County), former President

XPX Connecticut: The Exit Planning Exchange, former Director

Recognition and Community

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Recognitions

Selected as the Securities/Capital Markets Lawyer of the Year for the Stamford Metro Area by *The Best Lawyers in America* (Woodward/White, Inc.), 2023

Chosen for inclusion in the *Chambers USA Legal Directory* (Chambers & Partners) of recognized practitioners for Corporate/M&A in Connecticut, 2006-2024

Chosen for inclusion in *The Best Lawyers in America* (Woodward/White, Inc.), Corporate Governance Law, 2011-2025, Corporate Law, 2006-2025, Leveraged Buyouts and Private Equity Law, 2006-2025, Mergers and Acquisitions Law, 2006-2025, Securities/ Capital Markets Law, 2006-2025

Selected as the Mergers and Acquisitions Law Lawyer of the Year for the Stamford Metro Area by *The Best Lawyers in America* (Woodward/White, Inc.), 2011, 2013, 2018

Selected as the Corporate Law Lawyer of the Year for the Stamford Metro Area by *The Best Lawyers in America* (Woodward/White, Inc.), 2017

Selected to the list of Connecticut *Super Lawyers*, (Thomson Reuters), Business/Corporate, 2006-2019

Selected as the Securities/ Capital Markets Lawyer of the Year for the Stamford Metro Area by *The Best Lawyers in America* (Woodward/White, Inc.) 2014

Pro Bono Partnership, Award for Outstanding Nonprofit Project of 2012 (St. Paul's Child Development Center, Inc.)

AV Preeminent rated by Martindale-Hubbell (LexisNexis)

Chosen as 2008 Person of the Year, Stamford Museum and Nature Center

Community Involvement

Stamford Museum & Nature Center, former President

Senior Services of Stamford, Inc., former Director

The Volunteer Center, former Director and Chair of Court Advisory Committee

Teen Life Center, former Director

Insights

COVID-19 Order: Connecticut Nonstock Corporations May Now Hold Remote Membership Meetings
May 14, 2020

COVID-19 Emergency Order Allows Remote-Only Shareholder Meetings for Connecticut Corporations
March 22, 2020

Impact of COVID-19 Gives Good Reason to Consider Emergency Cooperation Agreement
March 16, 2020

New Annual Report Filing Deadline for Connecticut LLCs and Foreign LLCs Registered to Do Business in Connecticut
January 23, 2018

It May Be Time to Tune Up Your Connecticut LLC Operating Agreement
May 25, 2017

Major Changes Underway for the Connecticut Limited Liability Act
May 17, 2016

Connecticut Adopts Benefit Corporation Act; Businesses May Operate for Public Benefit
June 26, 2014

News

Chambers Recognizes Day Pitney with Elevated Rankings Across Practices and Attorneys
June 6, 2024

Chambers USA 2023 Ranks 35 Day Pitney Attorneys and 13 Practices
June 1, 2023

Chambers USA 2022 Ranks 34 Day Pitney Attorneys and 13 Practices
June 1, 2022

Chambers USA 2021 Ranks 31 Day Pitney Attorneys and 13 Practices
May 21, 2021

Covid-19 Emergency Orders Allow Remote-Only Annual Meetings For Connecticut Corporations
May 14, 2020

Chambers USA 2020 Ranks 34 Day Pitney Attorneys and 12 Practices
April 30, 2020

Chambers USA 2019 Ranks 38 Day Pitney Attorneys and 12 Practices
May 8, 2019

David Swerdloff Honored by ACC Westchester/Southern Connecticut Chapter
February 28, 2019

Chambers USA 2018 Ranks 39 Day Pitney Attorneys and 11 Practices
May 25, 2018

Chambers USA 2017 Ranks 41 Day Pitney Attorneys
May 26, 2017

87 Day Pitney Lawyers Named to 2016 Super Lawyers List
November 10, 2016

71 Day Pitney Lawyers Named to 2017 Best Lawyers List
August 15, 2016

Chambers USA 2016 Ranks 47 Day Pitney Attorneys
May 27, 2016

91 Day Pitney Lawyers Named to 2015 Super Lawyers List
October 30, 2015

68 Day Pitney Lawyers Named to 2016 Best Lawyers List
August 24, 2015

Chambers USA 2015 Ranks 51 Day Pitney Attorneys
May 21, 2015

Eighty-Four Day Pitney Attorneys Recognized by Super Lawyers for 2014
November 3, 2014

Seventy Day Pitney Lawyers Named to 2015 Best Lawyers List
August 22, 2014

Chambers USA 2014 Ranks 46 Day Pitney Attorneys

May 23, 2014

Eighty-eight Day Pitney Attorneys Recognized by *Super Lawyers* for 2013

October 22, 2013

Seventy Day Pitney Lawyers Named to 2014 Best Lawyers List

August 15, 2013

Chambers USA 2013 Ranks 39 Day Pitney Attorneys

May 24, 2013

Ninety-eight Day Pitney Attorneys Recognized by *Super Lawyers*

October 22, 2012

Seventy-Five Day Pitney Lawyers Named to Best Lawyers List for 2013

August 23, 2012

Chambers USA 2012 Ranks 41 Day Pitney Attorneys

June 8, 2012

Seventy-two Day Pitney Lawyers Named to Best Lawyers List for 2012

October 4, 2011

Chambers USA 2011 Ranks 45 Day Pitney Attorneys

June 10, 2011

Seventy-six Day Pitney Lawyers Named to Best Lawyers for 2011

August 9, 2010

Chambers USA 2010 Ranks 40 Day Pitney Attorneys

June 11, 2010

In The Media

Financing Growth: Borrowing Dos and Don'ts

March 17, 2017

7 Lessons M&A Attys Learned The Hard Way

Law360, August 12, 2016

Legal Documents - What are they and what do they mean?

June 17, 2016

Business Attorneys Praise New LLC Reform Law

The Connecticut Law Tribune, June 2, 2016

Preparing Your Business for Sale - The Time is Now

April 28, 2016

2015's Most Complex Deals And The Firms That Led Them

Law360, January 13, 2016

Speaker, "A Lawyer's Guide to Drafting Corporate and LLC Organizational Documents," Connecticut Bar Association Young Lawyers Section, New Britain, CT, March 28, 2011

March 28, 2011

Forming LLCs and Partnerships

A Practical Guide to Organizing a Business in Connecticut, August 8, 2013

