

Richard D. Harris

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Overview

Richard "Rick" Harris, resident in the firm's Hartford office, chairs the firm's Technology, Telecommunications, and Outsourcing practice group. Rick concentrates on commercial transactions with special emphasis on intellectual property and technology issues. He is experienced in structuring, drafting documentation for, and negotiating a wide variety of contractual transactions, including licensing and transfer of technology and intellectual property, including copyrights, patents, trade secrets, and trademarks. Rick has advised software publishers, developers, integrators, distributors, computer and equipment manufacturers, service providers, biotechnology and life sciences companies, medical research organizations, joint ventures, authors, and inventors in the acquisition, protection, and exploitation of intellectual property and the negotiation of licenses and other transactions, including commercialization of university-developed technology and patents. He represents both licensors and licensees and others seeking rights to use and/or own third-party intellectual property rights. In addition, he regularly advises financial institutions, including banks and insurance companies, and other multinational companies in connection with their multivear, multimilliondollar IT and telecommunications services contracts, including outsourcing contracts.

Rick regularly lectures and writes on intellectual property and technology law topics relating to computer technology and technology outsourcing. Rick's presentations have included programs entitled "Technology Outsourcing in the Financial Services Industry: Contingency Planning a Contractual Approach to Managing Uncertainty" and "Negotiating Telecommunications Outsourcing Deals: Major Issues in Integrating Managed Services Agreements."

Rick is presently a director, secretary, and past chair, of the MIT Enterprise Forum of Connecticut, and is a director, secretary of the Connecticut Technology Council. Also, he is a past president of Congregation Kol Haverim in Glastonbury, CT.

Rick is a member of the Emerging Companies and Venture Finance group, which offers resources and a solution developed specifically for entrepreneurs and startups called Day Pitney Launch.

Experience

No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome.



Practices & Industries

Academic Institutions & Higher Education Artificial Intelligence Corporate & Business Law Data Privacy, Protection & Litigation Emerging Companies Intellectual Property & Technology Private Companies Private Equity & Venture Capital Public Companies & Securities Technology, Telecommunications & Outsourcing Negotiating, on behalf of a multibillion-dollar diversified industrial manufacturer with headquarters in Cleveland, OH, and advising senior management in connection with a range of multiyear, multimillion-dollar IT and telecommunications managed services arrangements covering locations in North America, South America, Europe, Asia, and the Pacific Rim

Structuring and documenting a series of multiyear, multimillion-dollar off-shore IT and business process outsourcing arrangements on behalf of a Fortune 100 reinsurance company

Negotiation, on behalf of a multinational pharmaceutical company with headquarters in France, of the successful restructuring of a \$100 million arrangement for managed data telecommunications services throughout the world

Negotiation, on behalf of a major research university, of the terms of acquisition for a custom-designed MRI system for use in its clinical research programs

Advising a leading global developer, manufacturer, and marketer of instruments and related consumables and services used in the life sciences research on a series of development, patent license, and research collaboration agreements in the fields of drug discovery, genomics, and biochip analysis

Assisting a high-speed data communications and Internet access provider in developing its service level and privacy policies and in negotiating and documenting arrangements by which to market its services

Providing advice in connection with the structuring and negotiation of a joint venture and related agreements for the development and marketing of an educational information management software system to help school districts better utilize educational statistical information, including a wide range of software license, development, maintenance, and royalty agreements

Education and Credentials

Education

University of Connecticut School of Law, J.D., High Honors, 1990 University of Pennsylvania, B.S.E., *magna cum laude*, 1983

Admissions

State of Connecticut U.S. District Court, District of Connecticut

Affiliations

American Bar Association, Computer Section Connecticut Bar Association, Committee on the Impact of AI on the Legal Practice International Technology Law Association University of Connecticut School of Law, Adjunct Professor, 1993-1994 MIT Enterprise Forum, Inc., Chairman 1997-2003, Corporate Secretary 2005-Present, Director 1993-Present Connecticut Technology Council, Director 2006-Present, Corporate Secretary 2008-Present Connecticut Center for Advanced Technology (CCAT), IP Advisory Board, Member Better Business Bureau, Corporate Legal Counsel, 1999-Present

Recognition and Community

No aspect of this advertisement has been approved by the highest court of any state. Prior results do not guarantee a similar outcome. <u>See Awards Methodology</u>.

Recognitions

Chosen for inclusion in <u>The Best Lawyers in America</u> (Woodward/White, Inc.), Copyright Law, 2017-2025 Selected to the list of Connecticut <u>Super Lawyers</u> (Thomson Reuters), Intellectual Property, 2007-2009, 2012-2021 Pricewaterhouse New York City, Management and Technology Consultant, 1983-1987 AV Preeminent rated by <u>Martindale-Hubbell</u> (LexisNexis)

Community Involvement

Lawyers for Children America, Inc., volunteer, 1996-present; Regional Advisory Board, member, 2002-present Congregation Kol Haverim, vice president and director, 2000-2004; president, 2004-2006; officer 2006-2008 Connecticut Audubon Society, director, 1994-1996

Insights

Champions for Children: Celebrating 30 years of Protecting Children and Partnering for Change, Lawyers for Children America

November 7, 2024

Certain ASCs Face New Prior Authorization Payment Requirements from CMS April 29, 2024

Generative Artificial Intelligence Representations and Warranties Emerge in Venture Financing Transactions April 29, 2024

HHS Issues Long-Awaited Final Rule Modifying Part 2 Regulations February 28, 2024

New DOJ Safe Harbor Policy for Voluntary Self-Disclosures in M&A Transactions—What You Should Know February 28, 2024

AI Will Transform Legal Industry in 2024, Beyond January 29, 2024

New Providers/Entrants Beware – Ignorance Is Not a Defense December 20, 2023

Proposed Penalties for Information Blocking – Comment Deadline Approaching December 20, 2023

CT's New Change in Ownership Process Will Impact the Timing of Transactions October 16, 2023

Providers Beware—New Standard Established in False Claims Actions October 16, 2023

Need Help Navigating the Alphabet Soup of State Consumer Privacy Laws? June 22, 2023

New MA Plan Health Equity Requirements: Are You Ready? June 22, 2023

Attack of the Crypto Acronyms! ICOs, STOs, IEOs, IDOs – What is What? September 19, 2022

Attack of the Crypto Acronyms! ICOs, STOs, IEOs, IDOs – What Is What? September 19, 2022

Party of Five: Connecticut Is Latest State to Enact Comprehensive Consumer Privacy Law June 28, 2022

OIG Issues Favorable Opinion to Physician-Owned Medical Device Company June 28, 2022

Corn, Wheat, Hogs and ... NFTs? June 28, 2022

Additional Guidance From FDA on Importing Drugs From Canada June 28, 2022

FTC and Breach Notification – Time to Review Your Incident Response Plan? June 28, 2022

The 'Other' ADA Claim - Website Accessibility Under Title III April 28, 2022

FDA Proposes Update to Current Guidance on Cybersecurity in Medical Devices April 14, 2022

New Guidance Clarifies HHS's Position on Business Associates and HIPAA Transactions March 29, 2022

Health Data Breaches Continued to Soar in 2021 March 29, 2022

Consumer Data Privacy Laws - Where Are We Now? March 29, 2022

What the EEOC's Most Recent Advice on Accommodating Religion and COVID-19 Vaccinations Means in Healthcare March 29, 2022

TECH Talks Trending at Day Pitney March 29, 2022

OSHA Soliciting Input on COVID-19 and Workplace Safety March 29, 2022

New 72-Hour Cyber Incident Notice Requirement for Critical Infrastructure Entities March 18, 2022

Generations Winter 2022 - NFTs Art Collectors: Caveat Emptor Applies March 15, 2022

This Is Not a Drill March 4, 2022

New Russia Sanctions Require Immediate Compliance Review February 25, 2022



Corporate Crime? DOJ Says Not on My Dime. December 15, 2021 Time to Take Advantage of OIG's Updated Self-Disclosure Guidelines? December 15, 2021 Generations Fall 2021 - Investing in Digital Assets, A Race to the Mainstream: The Emergence of Institutional Custodians November 5, 2021 Dear GCs, CIOs and IT Leaders: Save the Date, January 6, 2022, for E911 Compliance Deadline September 16, 2021 Connecticut Enhances Data Breach Notification Law June 25, 2021 HHS Eases Prescribing Requirements for Opioid Addiction Medication June 1, 2021 Updated Resources for Healthcare Providers June 1, 2021 Potential Federal Privacy Bill June 1, 2021 Supreme Court Resolves Circuit Split and Narrows the Scope of the TCPA June 1, 2021 More Regulation for Urgent Care and Retail Clinics? June 1, 2021 **OIG Increases Oversight of Telehealth Services** June 1, 2021 Fifth Circuit Weighs In on HIPAA Penalties Due to Data Breaches March 25, 2021 In Response to Extensive Lobbying, Congress Recalibrates Medicare Physician Fee Schedule for 2021 March 25, 2021 The Long-Awaited HIPAA Proposed Rule Promises Enhanced Access Right March 25, 2021 The Commonwealth of Virginia Enacts a Consumer Data Privacy Law March 25, 2021 911!: Compliance Deadlines Loom Large for Business Phone Systems October 1, 2020 Approval of Final Regulations Under the California Consumer Privacy Act October 1, 2020 COVID-19: Tri-State Governors Announce New 14-Day Quarantine June 26, 2020 COVID-19 Connecticut Rules Released for Phase 2 Reopening June 17 June 10, 2020 COVID-19 Update: Highlights of the Paycheck Protection Program Flexibility Act of 2020 June 4, 2020 COVID-19: More guidance from SBA regarding PPP loans May 26, 2020



COVID-19 Paycheck Protection Program Loan Forgiveness Application Released May 18, 2020

COVID-19 Order: Connecticut Nonstock Corporations May Now Hold Remote Membership Meetings May 14, 2020

SBA Safe Harbor Does Not Guarantee Free Pass for COVID-19 PPP Borrowers May 13, 2020

You Have the COVID-19 PPP Loan - Know the Rules to Maximize Forgiveness April 25, 2020

Summary of COVID-19 Paycheck Protection Program and Health Care Enhancement Act April 24, 2020

New COVID-19 Connecticut Executive Order Requires Face Coverings in Public and Business Locations April 20, 2020

COVID-19 CARES Act Paycheck Protection Program Interim Final Rule Updates April 3, 2020

COVID-19 and Telehealth: Providing Care at a Distance March 31, 2020

Relief for Banks in the COVID-19 CARES Act March 27, 2020

Relief for Businesses in the COVID-19 CARES Act March 27, 2020

COVID-19 and Contracts: Potential Impacts of the Pandemic on Private Agreements? March 23, 2020

Tri-State Merchants Must Adhere To Price Gouging Prohibitions During COVID-19 Emergency March 23, 2020

Massachusetts Governor Issues COVID-19 Order No. 13 Identifying Essential Services to Remain Open March 23, 2020

New Jersey Governor Orders Businesses to Make "Best Efforts" to Reduce On-site Staff and Closes "Non-Essential" Retail Establishment Due to COVID-19 Pandemic March 22, 2020

Day Pitney Prepared to Assist Clients in Requesting Designation as "Essential" Business under New York's COVID-19 "Work from Home" Executive Order March 22, 2020

COVID-19 Emergency Order Allows Remote-Only Shareholder Meetings for Connecticut Corporations March 22, 2020

Connecticut Department of Economic and Community Development Issues Guidance For Determining Which Businesses Are "Essential" under Connecticut's COVID-19 "Work from Home" Executive Order March 22, 2020

Day Pitney Prepares to Assist Clients in Requesting Designation as "Essential" Business under Connecticut's COVID-19 "Work from Home" Executive Order March 21, 2020

Connecticut Governor Suspends Statutes of Limitation and Time Requirements for Certain Court Actions Due to COVID-19 Emergency

March 20, 2020



Businesses Face Increased Risk of Cybersquatting and Fraudulent Websites Exploiting the COVID-19 Pandemic March 19, 2020

Impact of COVID-19 Gives Good Reason to Consider Emergency Cooperation Agreement March 16, 2020

California Consumer Privacy Act: Compliance Best Practices for Investment Managers November 20, 2019

Draft Regulations Finally Provide Some Clarity on California's New Privacy Law October 25, 2019

FERC Expands Cyber Security Incident Reporting Requirements July 27, 2018

NJ Supreme Court Answers Questions, Limits TCCWNA Claim to Require Actual Harm April 25, 2018

New Jersey's Truth in Consumer Contract Law Given Additional Clarity in New Ruling October 6, 2017

Cybersecurity Risk to Industrial Internet of Things: Not Just IT Problem July 20, 2017

NAIC Introduces New Insurance Data Security Model Law for Comment March 9, 2016

News

Day Pitney Sponsors ITechLaw 2023 World Technology Law Conference May 24-26, 2023

Veson Nautical Acquires Q88 May 4, 2022

Day Pitney Represents VCV Digital Technology in its Business Combination with Fortune Rise Acquisition Corporation May 2, 2022

Day Pitney Counsels Three Volunteer Court Appointed Special Advocate Organizations to Successful Merger January 20, 2022

Day Pitney Launches PPP FAQ With Loan Forgiveness Insights May 13, 2020

87 Day Pitney Lawyers Named to 2016 Super Lawyers List November 10, 2016

71 Day Pitney Lawyers Named to 2017 Best Lawyers List August 15, 2016

91 Day Pitney Lawyers Named to 2015 Super Lawyers List October 30, 2015

Eighty-Four Day Pitney Attorneys Recognized by Super Lawyers for 2014 November 3, 2014

Eighty-eight Day Pitney Attorneys Recognized by *Super Lawyers* for 2013 October 22, 2013

Ninety-eight Day Pitney Attorneys Recognized by *Super Lawyers* October 22, 2012

In The Media

Connecticut Movers: Laterals to Two Top Firms Connecticut Law Tribune, October 24, 2024

Technology Partner Richard Harris Interviewed on AI on WTIC Radio WTIC, November 10, 2023

"Anatomy of a Cyberattack," 2022 Cybersecurity Forum October 25, 2022

7 Key Steps to Comply with California Consumer Privacy Act (CCPA) Data Science Central, September 27, 2022

Protecting Intellectual Property A Practical Guide to Organizing a Business in Connecticut, MCLE New England, August 8, 2013

Hey, SPACs: Congress and the SEC Would Like a Word With You Day Pitney Cybersecurity, Healthcare and Technology (C.H.A.T.) Newsletter, December 15, 2021

Connecticut Entrepreneurs Forum? to Offer Community, Collaboration, and Connection for Connecticut's Entrepreneurs Connecticut Entrepreneurs Forum?, November 15, 2021

Data Protection and Privacy November 9, 2020

IP in Corporate Transactions; Due Diligence; Licensing July 27, 2020

Are You Expecting PPP Loan Forgiveness? Don't Forget This Step Forbes, May 28, 2020

SBA PPP Loan Borrowers Be Warned: No Free Pass After SBA FAQ Issued Forbes, May 27, 2020

Does Your Business Need to Comply with the CALIFORNIA CONSUMER PRIVACY ACT (CCPA) effective January 1, 2020? Day Pitney Press Release, January 2, 2020

Scale-Ups up in Connecticut: Jump Starting Growth May 18, 2017

3 Tips For Charging Up Your Firm's Social Media Practice Law360, January 6, 2016

Boutiques, Smaller Firms Set Pace For Social Media Law Law360, December 2, 2015

Red Flags 2015: Broker-Dealer and Investment Adviser Hot Topics November 5, 2015

The Hard Lesson Everyone Should Learn from Peoria, III.'s Twittergate Scandal Government Technology, September 11, 2015

Forming LLCs and Partnerships A Practical Guide to Organizing a Business in Connecticut, August 8, 2013